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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Savasoglu et al. ) Examiner: TBD )
Serial No.: 10/677,172 ) Art Unit: 3609

Title: ENHANCED REMARKETABLE SECURITIES

Mail Stop: Amendment Commissioner for Patents

P.O. Box: 1450

Alexandria, VA 22313-1450

Filing Date: Oct. 2, 2003

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Atty. Docket No. 030586

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Serial No.:	10/677,172	)	Art Unit: 3609
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## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08. Copies of the non-U.S. patent documents, if any, are enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)." Applicants further note that the filing of this *Information Disclosure Statement* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Applicants submit that no fee is necessary for consideration of this *Information Disclosure Statement* because an Office Action on the merits has not yet been mailed. See 37 C.F.R. § 1.97(b)(3). Nevertheless, the Office is hereby authorized to charge Account No. 11-1110 for any fees necessary for consideration of this *Information Disclosure Statement*.

Respectfully submitted,

Nach Knedewen

Date: August 14, 2007

Mark G. Knedeisen Reg. No. 42,747

KIRKPATRICK & LOCKHART PRESTON GATES ELLIS LLP

Henry W. Oliver Building

535 Smithfield Street Ph. (412) 355-6342 Pittsburgh, PA 15222 Fax (412) 355-6501

PTO/SB/08A (08-03)

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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/677,172
l				Filing Date	October 2, 2003
INFORMATION DISCLOSURE				First Named Inventor	Serkan Savasoglu
STATEMENT BY APPLICANT			CANT	Art Unit	3609
				Examiner Name	Mohammad Z. Shaikh
(use as many sheets as necessary)			ssary)		
Sheet	1	of	2	Attorney Docket Number	030586

	U.S. PATENT DOCUMENTS							
Examiner Cite No.1		Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	<del> </del>	US-2006/0218069	09-2006	Aberman et al.				
		US-2002/0103852	08-2002	Pushka, Wayne L.				
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	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵				

Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 0-786-9199) and select option 2. Pl-1825484 v2

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				Examiner Name	Mohammad Z. Shaikh	
(use as many sheets as necessary)			ssary)			
Sheet 2 of 2		Attorney Docket Number	030586			

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²			
		Prospectus Supplement, Public Service Enterprise Group Incorporated (PSEG) Sept. 5, 2002				
		Prospectus Supplement, The Hartford Financial Services Group, Inc., Sept. 9, 2002				
		Office Action, United States Patent and Trademark Office, Application No. 10/679,071, Aug. 3, 2007	-			
		Office Action, United States Patent and Trademark Office, Application No. 10/679,054, July 31, 2007				
			, <u></u>			
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Examiner	Date	
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